

101-790
102-329

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 04860.P0686C2

Total Pages 2

First Named Inventor or Application Identifier Eric C. Anderson

Express Mail Label No. EM492086981US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 54)
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 18)
4. X Oath or Declaration (Total Pages 2)
 - a. Newly Executed (Original or Copy)
 - b. X Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. X Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & documents(s))
9. ☐ a. 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
14. ☐ a. Small Entity Statement(s)
- ☐ b. Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Copy of postcard with Express Mail Certificate
- _____
- _____
- _____

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
- of prior application No: 08/478,413

18. Correspondence Address

☐ Customer Number or Bar Code Label _____

or

(Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME James C. Scheller, Jr. Reg. # 31,195

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT (\$) 1,118.00

Complete if Known:
 Application No. 08/478,413
 Filing Date June 7, 1995
 First Named Inventor Eric C. Anderson
 Group Art Unit 2316
 Examiner Name Toplu, L.
 Attorney Docket No. 04860.P0686C2

JC542 U.S. PTO
09/007019



METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number _____
 Deposit Account Name _____
- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.131(b)
2. ☒ Payment Enclosed
☒ Check
☐ Money Order
☐ Other

FEE CALCULATION (fees effective 10/01/97)

1. FILING FEE

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|------------------------------------|------------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 101 | 790 | 201 | 395 | Utility application filing fee | <u>790.00</u> |
| 106 | 330 | 206 | 165 | Design application filing fee | _____ |
| 107 | 540 | 207 | 270 | Plant filing fee | _____ |
| 108 | 790 | 208 | 395 | Reissue filing fee | _____ |
| 114 | 150 | 214 | 75 | Provisional application filing fee | _____ |
| SUBTOTAL (1) | | | | | <u>\$ 790.00</u> |

2. CLAIMS

| | | | Extra | | Fee from below | | Fee Paid |
|---------------------------|-----------|------|-------|----------|----------------|--------------|-----------------|
| Total Claims | <u>19</u> | - 20 | = | <u>0</u> | X | <u>22.00</u> | = <u>0</u> |
| Independent Claims | <u>7</u> | - 3 | = | <u>4</u> | X | <u>82.00</u> | = <u>328.00</u> |
| Multiple Dependent Claims | | | | | X | | = _____ |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|----------|--------------|----------|---|------------------|
| Code | Fee (\$) | Code | Fee (\$) | | |
| 103 | 22 | 203 | 11 | Claims in excess of twenty | <u>0</u> |
| 102 | 82 | 202 | 41 | Independent claims in excess of 3 | <u>328.00</u> |
| 104 | 270 | 204 | 135 | Multiple dependent claim | _____ |
| 109 | 82 | 209 | 41 | Reissue independent claims over original patent | _____ |
| 110 | 22 | 210 | 11 | Reissue claims in excess of 20 and over original patent | _____ |
| SUBTOTAL (2) | | | | | <u>\$ 328.00</u> |

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | _____ |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | _____ |
| 139 | 130 | 139 | 130 | Non-English specification | _____ |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | _____ |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | _____ |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | _____ |
| 115 | 110 | 215 | 55 | Extension for response within first month | _____ |
| 116 | 400 | 216 | 200 | Extension for response within second month | _____ |
| 117 | 950 | 217 | 475 | Extension for response within third month | _____ |
| 118 | 1,510 | 218 | 755 | Extension for response within fourth month | _____ |
| 128 | 2,060 | 228 | 1,030 | Extension for response within fifth month | _____ |
| 119 | 310 | 219 | 155 | Notice of Appeal | _____ |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | _____ |
| 121 | 270 | 221 | 135 | Request for oral hearing | _____ |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | _____ |
| 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application | _____ |
| 141 | 1,320 | 241 | 660 | Petition to revive unintentionally abandoned application | _____ |
| 142 | 1,320 | 242 | 660 | Utility issue fee (or reissue) | _____ |
| 143 | 450 | 243 | 225 | Design issue fee | _____ |
| 144 | 670 | 244 | 335 | Plant issue fee | _____ |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | _____ |
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | _____ |
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | _____ |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | _____ |
| 146 | 790 | 246 | 395 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | _____ |
| 149 | 790 | 249 | 395 | For each additional invention to be examined (see 37 CFR 1.129(a)) | _____ |
| Other fee (specify) _____ | | | | | _____ |
| Other fee (specify) _____ | | | | | _____ |

SUBTOTAL (3)\$ 0 _____

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James C. Scheller, Jr.

Signature  Date 1/14/98

Reg. Number Reg. # 31,195 Deposit Account User ID _____
(complete if applicable)

JC542 U.S. PTO
09/007019
01/14/98

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EM492086981US

Date of Deposit: January 14, 1998

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

Cindy Baallett
(Typed or printed name of person mailing paper or fee)

Cindy Baallett
(Signature of person mailing paper or fee)

1/14/98
(Date signed)

Serial/Parent No. 08/478,413 Filing/Issue Date June 7, 1995
 Office Apple Computer, Inc.
 Title EXECUTION CONTROL FOR PROCESSOR TASKS
 BSTZ File No. 04860, P0686C2 Atty/Secty Initials JCS/RLG/clb
 Date Mailed January 14, 1998 Docket Due Date January 14, 1998
 The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:
☐ Amendment/Response (____ pgs.)
☐ Appeal Brief (____ pgs.) (in triplicate)
☐ Application - Utility (____ pgs. with cover and sheets)
☒ Application - Rule 1.01 Division (____ pgs.)
☒ Application - Rule 1.60 Division (____ pgs.)
☒ Application - Rule 1.02 Division (____ pgs.)
☒ Application - Design (____ pgs.)
☒ Application - PCT (____ pgs.)
☐ Application - Provisional (____ pgs.)
☐ Assignment and Cover Sheet
☐ Certificate of Mailing
☐ Declaration & POA (____ pgs.)
☐ Disclosure Doc & Orig & Copy of Invention Signed Letter (____ pgs.)
☐ Drawings: ____ # of sheets includes ____ figures
☐ Express Mail No. EM492086981US ☒ Check No. 20059
☐ ____ Month(s) Extension of Time Amt: \$1,118.00
☐ Information Disclosure Statement & PTO 1449 (____ pgs.) ☐ Check No. ____
☐ Issue Fee Transmission Amt: ____
☐ Notice of Appeal
☐ Petition for Extension of Time
☐ Petition for ____
☐ Postcard
☐ Power of Attorney (____ pgs.)
☒ Preliminary Amendment (____ pgs.)
☐ Reply Brief (____ pgs.)
☐ Response to Notice of Missing Parts
☐ Request to Incorporate Disclosure Document (____ pgs.)
☐ Small Entity Declaration for Indep. Inventor/Small Business
☒ Transmittal Letter (original & copy) (4 pgs.)
☐ Other: Copy of Abstract along w/ Express Mail Stamp (1 pg.)
Copy of Original Patent Application, drawings + Declaration (76 pgs)